



公 司 註 冊 處
COMPANIES REGISTRY

STATEMENT OF DISCIPLINARY ACTION

ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING ORDINANCE (Chapter 615)

Pursuant to section 53Z of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (“AMLO”), disciplinary action set out below has been taken by the Registrar of Companies (“the Registrar”) against the following trust or company service provider licensee:

Licence No.	TC005832
Name of Licensee	蘭迪斯卡維爾財務及稅務諮詢有限公司 LANDIS & KADWELL FINANCIAL & TAX CONSULTING LIMITED
Contravention	(1) Contravention of section 53S(1) of Part 5A of the AMLO, namely, a person has become an ultimate owner of the Licensee without prior written approval of the Registrar. (2) Contravention of section 53U(1) of Part 5A of the AMLO, namely, a person has become a director of the Licensee without prior written approval of the Registrar. (3) Contravention of section 53W(1) of Part 5A of the AMLO, namely, the Licensee has failed to notify the Registrar of changes in its particulars within 1 month beginning on the date on which the changes take place. (4) Contravention of section 19(1) of Schedule 2 to the AMLO. (5) Contravention of section 20 of Schedule 2 to the AMLO.
Date of Decision	28 March 2023
Disciplinary Action Taken	A pecuniary penalty of HK\$14,000

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