COMPANIES REGISTRY

STATEMENT OF DISCIPLINARY ACTION

ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING ORDINANCE
(Chapter 615)

Pursuant to section 53Z of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance ("AMLO"), disciplinary action set out below has been taken by the Registrar of Companies ("the Registrar") against the following trust or company service provider licensee:

Licence No.	TC002898
Name of Licensee	洛德信託（亞洲）有限公司 LAW DEBENTURE TRUST (ASIA) LIMITED
Contravention	(1) Contravention of section 53U(1) of Part 5A of the AMLO, namely, a person has become a director of the Licensee without prior written approval of the Registrar. (2) Contravention of section 53W(1) of Part 5A of the AMLO, namely, the Licensee has failed to notify the Registrar of changes in its particulars within 1 month beginning on the date on which the changes take place.
Date of Decision	17 June 2022
Disciplinary Action Taken	Imposition of a pecuniary penalty of HK$43,000

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