



公 司 註 冊 處
COMPANIES REGISTRY

STATEMENT OF DISCIPLINARY ACTION

ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING ORDINANCE (Chapter 615)

Pursuant to section 53Z of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (“AMLO”), disciplinary action set out below has been taken by the Registrar of Companies (“the Registrar”) against the following trust or company service provider licensee:

Licence No.	TC001109
Name of Licensee	AYASA GLOBO FINANCIAL SERVICES LIMITED
Contravention	<p>(1) Contravention of section 53S(1) of Part 5A of the AMLO, namely, a person has become an ultimate owner of the Licensee without prior written approval of the Registrar.</p> <p>(2) Contravention of section 53U(1) of Part 5A of the AMLO, namely, a person has become a director of the Licensee without prior written approval of the Registrar.</p> <p>(3) Contravention of section 53W(1) of Part 5A of the AMLO, namely, the Licensee has failed to notify the Registrar of changes in its particulars within 1 month beginning on the date on which the changes take place.</p>
Date of Decision	16 January 2023
Disciplinary Action Taken	Imposition of a pecuniary penalty of HK\$18,000

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